

PAGE RECEIVED

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JAN 1 4 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MUNEO, SAKURADA

Group Art Unit: 3743

Serial No. 09/980,623

Examiner: FLANIGAN, ALLEN

Filed: 12/05/01

Title: HEAT EXCHANGER

FACSIMILE TRANSMISSION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Submitted: 01/14/04

ATT: OFFICE OF PETITION.

Dear Sir:

In accordance with your telephone conversation today, transmitted herewith a copy of renewed petition under rule 181 filed on 08/20/03 and receipt thereof, total 15 pages including this cover page.

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office Fax No. (703)872-9306 on January 14, 2004.

Reg. No. 53,670

Agent for Applicant

Date: 01/14/04

KANESAKA & TAKEUCHI

1423 Powhatan Street

Alexandria, VA 22314

Tel: (703)519-9785

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JAN 1 4 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Technology Center: 3700

Serial No. 09/980,623 Attention: Richard A. Bertsch

Fed: 12/05/2001 Director

Title: HEAT EXCHANGER

Applicant: Muneo Sakurada

RENEWED PETITION UNDER RULE 181

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

We have received Decision on Petition mailed July 28, 2003, dismissing the petition filed March 4, 2003. The decision states that the petition did not comply with item (1). The item (1) calls for a statement from the practitioner stating that the Office communication was not received by the practitioner and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received.

In reply thereto, I hereby attest to the fact that a search of the file jacket and docket records (Exhibit 1 as filed March 4, 2003) indicates that the Office communication was not received.

Since we did not receive a filing receipt for this case almost one year after filing, we checked the file jacket and learned that the Combined Declaration for Patent Application and Power of Attorney prepared by Japanese council and executed in Japan on October 16, 2001, used the 727 S 23rd Street address (hereinafter "old address");



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however, the application transmittal letter signed by me, applicant's agent, and filed on December 5, 2001, when this application was filed in the USPTO, gives the current address, 1423 Powhatan Street, Alexandria, VA 222314, (hereinafter "current address"). A copy of which is attached hereto as Exhibit-2.

MPEP 601.03 provides that the correspondence address must either be in an application data sheet (37 CFR 1.76) or in a clearly identifiable manner elsewhere in any papers submitted with the application filing.

As set forth above, I specified the correspondence address in the application transmittal letter in a clearly identifiable manner. See Exhibit-2.

Further, a Preliminary Amendment (Exhibit-3) concurrently filed with the application shows my correct address as of the filing date of this application.

I reason that these two statements made by the applicant's agent of record on the date that the application was filed supersedes the previous applicant statement in the Power of Attorney but, in order to make it clear, as stated in the original petition, we filed a change of address on November 11, 2002, which predates the Notice of Abandonment mailed on February 7, 2003.

The decision further states, "it is unclear that petitioner has made a showing that the Office Action mailed July 26, 2002, was not received at the 727 S $23^{\rm rd}$ Street address.

In reply thereto, I hereby state that my office, Kanesaka & Takeuchi, moved out from the old address in the middle of May 1998 so that I was not at the old address at that time already. In fact, Mr. Masazumi Mori, Japanese patent attorney for this case, sent this application to us



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Page 3

Art Unit: 3743

at the current address on November 19, 2001. A copy of the order letter is attached as Exhibit-4.

Therefore, it is apparent that we did not receive the Office Action at the old address or 727 S 23rd Street because I no longer had an office at the old address. I presume that the Post Office returned the mail to the USPTO. A check of the application file would have detected my address as given in the letter of transmittal or the Preliminary Amendment which accompanied the application at the time of filing.

ACTION REQUESTED

In view of the above, applicant respectfully requests that the Office withdraw the holding of abandonment and remail the Office action originally dated July 26, 2002, and thereby set a new date for response thereto.

August 20, 2003

KANESAKA & TAKEUCHI 1423 Powhatan Street Alexandria, VA 22314

Tel: (703)519-9785

Respectfully submitted,

Yusuke Takeuchi

Reg. NO. 30,921

Agent for Applicant

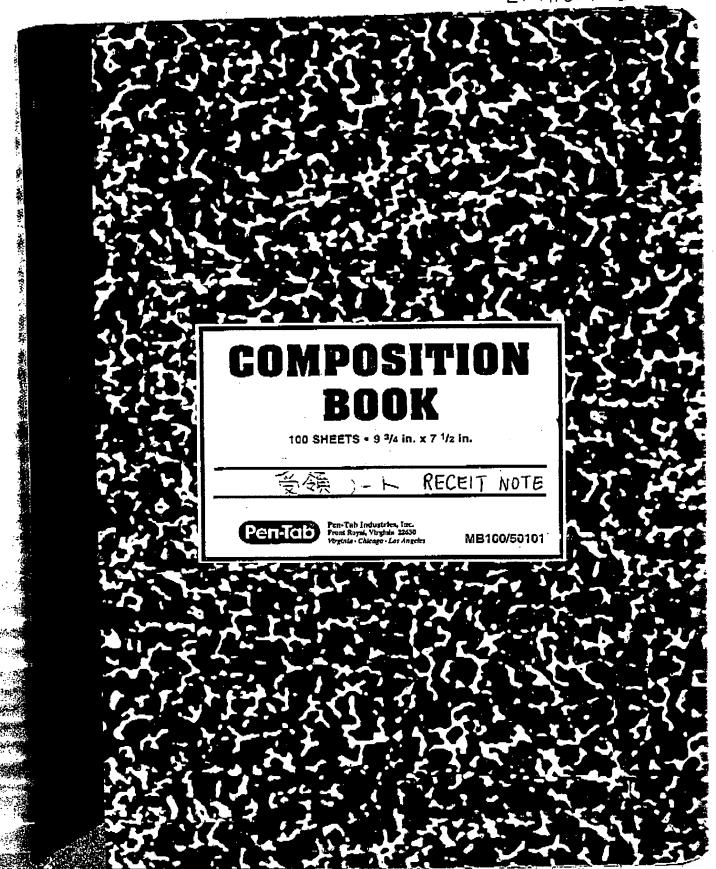
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RECEIPT

Docket No.: ROIO8T Serial No.: 09/930,623 12/05/01 Filed: Enclosed is:] Specification (pages)
] Drawings (sheets) j Declaration with Power of Attorney] Assignment of the Invention j Priority Documents (copies) j Information Disclosure Statement copies) Petition for Time Extension [] Amendment (pages [] Corrected Drawings (sheets)] Issue Fee Transmittal check in the amount of \$ fetition under rule III [V] Others Penewed

EXHIBIT 1



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PAGE 7/15* RCVD AT 1/14/2004 4:23:13 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/1 * DNIS:8729306 * CSID:7035197769 * DURATION (mm-ss):05-32

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PAGE 8/15 * RCVD AT 1/14/2004 4:23:13 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/1 * DNIS:8729306 * CSID:7035197769 * DURATION (mm-ss):05-32

16. Other items or information:

U.S. DEFARTMENT OF CO ₹ŌRM PTO-1399 IREV 3-43) R0108T TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (11 MOVE, See \$7 CFR 1 3) $A \setminus N$ CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED HATERNATIONAL FILING DATE NTERNATIONAL APPLICATION NO. July 19, 1999 March 29, 2000 PCT/JP00/01935 TITLE OF INVENTION HEAT EXCHANGER APPLICANT(S) FOR DO/EO/US Muneo SAKURADA and Soichi KATO Applicant herewith submits to the Upited States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is transmitted herewith (required only if not transmitted by the International Bureau). b. M has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). a. 🔲 have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. I have not been made and will not be made. 8.

A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [V] A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. A change of power of attorney and/or address letter.



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EXHIBIT-3

OFFICIAL

IN THE UNITED STATED PATENT AND TRADEMARK OFFICE

Applicant: SAKURADA, M

Group Art Unit: N/A

Serial No. N/A

Examiner: N/A

Filed: 12/06/01

Title: HEAT EXCHANGER

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents Submitted: 12/06/01 Washington, D.C. 20231

Dear Sir:

Please amend the above-identified patent application as follows:

In the Claim:

Please amend claims 4-6 to read as follows:

- --4. (Amended) The heat exchanger according to claim 1 or 2, wherein the two or more heat exchangers disposed in parallel have a space of 15 mm or less between them.
- 5. (Amended) The heat exchanger according to claim 1 or 2, wherein one of the heat exchangers is a condenser and the other is a radiator.
- 6. (Amended) The heat exchanger according to claim 1 or 2, wherein the two or more heat exchangers are integrally configured using a common member.—

REMARKS

page 2

Application Number: N/A

Art Unit: N/A

This amendment is to avoid multiple depending claims which are dependent upon another multiple depending claim.

Respectfully submitted,

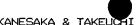
Yusuke Takeuchi

Reg. No. 30,921

Agent for Applicant,

Date: 12/05/0/

KANESAKA & TAKEUCHI 1423 Powhaten Street Alexandria, VA 22314 Tel.: (703)519-9785



Application Number: N/A

Art Unit: N/A

page 3

VERSION WITH MARKINGS TO SHOW CHANGES MADE

- --4. (Amended) The heat exchanger according to [any of claims 1 to 3] claim 1 or 2, wherein the two or more heat exchangers disposed in parallel have a space of 15 mm or less between them.
- 5. (Amended) The heat exchanger according to [any of claims 1 to 4] claim 1 or 2, wherein one of the heat exchangers is a condenser and the other is a radiator.
- 6. (Amended) The heat exchanger according to [any of claims 1 to 5] claim 1 or 2, wherein the two or more heat exchangers are integrally configured using a common member. --

(は 付すずし すいかり) 「

MORI PATENT OFFICE

9-10 HONCHO 2-CHOME NAKANO-KU, TOKYO 164 JAPAN TEL (03) 3373-9510 FAX (03) 3372-3981

EXHIBIT-4

Mr. Yusuke Takcuchi KANESAKA & TAKEUCHI 1423 Powhatan Street Alexandria, VA 22314 U.S.A.

November 19, 2001

Re: U.S Patent Application corresponding to PCT/JP00/01935

Zexel Valeo Climate Control Corporation

Our Ref.: P-451

Dear Mr. Takeuchi.

In connection with the above-mentioned international application, we hereby request you to take necessary steps for entry into the national phase before the USPO by January 19, 2002 the latest.

PARTICULARS

TITLE: HEAT EXCHANGER

ASSIGNEE:

Zexel Valeo Climate Control Corporation

39. Aza-Higashihara, Oaza-sendai, Kohnan-machi, Oosato-gun, Saitama
360-0193, Japan

(Note: Assignee's name and address have been changed to the above mentioned from Bosch Automotive System Corp.)

INVENTORS:

Muneo SAKURADA
Soichi KATO
c/o Zexel Valeo Climate Control Corporation
39, Aza-Higashihara, Oaza-sendai, Kohnan-machi, Oosato-gun, Saitama
360-0193 Japan

INTERNATIONAL APPLICATION NO.: PCT/JP00/01935

INTERNATIONAL FILING DATE:

Mar. 29, 2000

PRIORITY:

Japan Patent App. No.11-204354(Jul.19, 1999)

DOCUMENTS HEREWITH: 1. English translation

- 2. Drawings
- 3. International Search Report
- 4. Cover sheet of WO publication
- 5. Notification of the recording of a change
- 6. Floppy disk

7.Dcclaration/Power of Attorney

Please kindly acknowledge your receipt of this letter and enclosures by facsimile.

Very truly yours,

Masazumi Mori

Encis.